

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10511914	
	Filing Date		2004-10-18	
	First Named Inventor	Loier		
	Art Unit		1645	
	Examiner Name	Unknown		
Attorney Docket Number		36689.37		

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1	LU, et al., "α1-Antitrypsin Gene Therapy Modulates Cellular Immunity and Efficiently Prevents Type 1 Diabetes in Nonobese Diabetic Mice" Human Gene Therapy, Vol. 17, pages 1-10, June 2006.	<input type="checkbox"/>
2	SONG, et al., "Recombinant adeno-associated virus-mediated alpha-1 antitrypsin gene therapy prevents type 1 diabetes in NOD mice," Gene Therapy 11, pages 181-186, 2004	<input type="checkbox"/>
3	ZHANG, et al., "Alpha 1 antitrypsin (AAT) inhibits Granzyme B activity and NK cell killing," Oral Presentation (Chicago) June 21-26, 2007	<input type="checkbox"/>
4	ZHANG, et al., "α1-Antitrypsin Protects β-Cells From Apoptosis," Diabetes, Vol. 56, pages 1316-1323, May 2007.	<input type="checkbox"/>

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☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Mark D. Moore/	Date (YYYY-MM-DD)	2007-09-11
Name/Print	Mark D. Moore	Registration Number	42,903

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